## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Douglas J. DELLINGER

Divisional of Serial No.: 09/691,824

Group Art Unit: Unassigned

Filing Date: Concurrently herewith

Examiner: Unassigned

Title: PHOSPHINOAMIDITE CARBOXYLATES AND ANALOGS THEREOF IN THE

SYNTHESIS OF OLIGONUCLEOTIDES HAVING REDUCED INTERNUCLEOTIDE

**CHARGE** 

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration.

Applicants respectfully request that the Examiner review and make of record the references identified below.

The references identified below were disclosed and/or cited in parent application Serial No. 09/691,824, filed October 17, 2000, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. The references are as follows:

|              | U.S. PATENT DOCUMENTS         |                      |
|--------------|-------------------------------|----------------------|
| Document No. | Issue Date / Publication Date | Patentee / Applicant |
| 4,056,673    | 11/1/77                       | Heimer et al.        |
| 4,415,732    | 11/15/83                      | Caruthers et al.     |
| 4,725,677    | 2/16/88                       | Köster et al.        |
| 5,763,208    | 6/9/98                        | Bischofberger et al. |
| 6,069,243    | 5/30/00                       | Scozzari et al.      |

| FOREIGN PATENT DOCUMENTS |                  |         |  |  |
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| Document No.             | Publication Date | Country |  |  |
| WO 93/10140              | 5/27/93          | PCT     |  |  |
| WO 02/32912              | 4/25/02          | PCT     |  |  |

## OTHER DOCUMENTS

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This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As this Information Disclosure Statement is being filed concurrently with the application, no fee is required.

Respectfully submitted,

By:

Shelley P.Æberle

Registration No. 31,411

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|------------------------|--------------------------|--|--|
| Applicati n Number     | Divisional of 09/691,824 |  |  |
| Filing Date            | Concurrently herewith    |  |  |
| First Named Inventor   | Douglas A. DELLINGER     |  |  |
| Art Unit               | Unassigned               |  |  |
| Examiner Name          | Unassigned               |  |  |
| Attorney Docket Number | 2750-0001.10             |  |  |

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|                       | AB                    | 4,415,732    | 11/15/83                       | Caruthers et al.                                   |       |          |                            |
|                       | AC                    | 4,725,677    | 2/16/88                        | Köster et al.                                      |       |          |                            |
|                       | AD                    | 5,763,208    | 6/9/98                         | Bischofberger et al.                               |       |          |                            |
|                       | AE                    | 6,069,243    | 5/30/00                        | Scozzari et al.                                    |       |          | -                          |

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|                       | AF                       | WO 93/10140                 | 5/27/93          | PCT     |       |          | П |  |
|                       | AG                       | WO 02/32912                 | 4/25/02          | PCT     |       |          |   |  |

|                       |             | OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS  |          |
|-----------------------|-------------|---|----------|
| Examiner<br>Initials* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т        |
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|                       | AL          | HAGEN et al. (1989), "General Synthesis of 2'(3')-O-Aminoacyl Oligoribonucleotides. The Protection of   |          |
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|                       |             | Acid Esters of 5-Bromo-2'-Deoxyuridine and Related Pyrimidine Nucleosides and Acyclonucleosides," J.  |          |
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|                       | AP          | NOVIKOVA et al. (1976), "New Method for the Synthesis of α-Phosphorus <sup>III</sup> -Substituted Carboxylic  | ŀ        |
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|                       | AQ          | NOVIKOVA et al. (1976), "Reactivity of Diphosphorus <sup>III</sup> -Substituted Acetic Esters," Zhurnal Obshchei  |          |
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|                       |             | Properties," Nucleic Acids Research 24(15):2966-2973.   |          |

| Examiner  | <br>Date   |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|                        |                    |           |         | Com                    | plete if Known           |
|------------------------|--------------------|-----------|---------|------------------------|--------------------------|
| Substitute for         | form 1449A/PTO     |           |         | Application Number     | Divisional of 09/691,824 |
| IN                     | FORMATION          | DISC      | TOSUPE  | Filing Date            | Concurrently herewith    |
| INFORMATION DISCLOSURE |                    |           |         | First Named Inventor   | Douglas A. DELLINGER     |
| SI                     | ATEMENT BY         |           |         | Art Unit               | Unassigned               |
|                        | (use as many sheet | s as nece | essary) | Examiner Name          | Unassigned               |
| Sheet                  | 2                  | of        | 2       | Attorney Docket Number | 2750-0001.10             |

|                       |             | OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS  |   |
|-----------------------|-------------|---|---|
| Examiner<br>Initials* | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Т |
|                       | AV          | STEPANOV et al. (1979), "Synthesis of Phosphorus(III) Compounds Containing an (Alkoxycarbonyl)Methyl Group," <i>Zhurnal Obshchei Khimii</i> 49(10):2389.  |   |
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|                       | AX          | WILK et al. (2000), "Deoxyribonucleoside Cyclic N-Acylphosphoramidites as a New Class of Monomers for the Stereocontrolled Synthesis of Oligothymidylyl- and Oligodeoxycytidylyl- Phosphorothioates," <i>J. Am. Chem. Soc.</i> 122(10):2149-2156.               |   |

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